

Uniform boundary controllability of the Schrödinger equation with vanishing viscosity

Sorin Micu

University of Craiova (Romania)

BCAM, Bilbao, June 23, 2011

Joint work with Ionel Roventza

One dimensional Schrödinger equation

Given any time $T > 0$ and an initial datum $y^0 \in H^{-1}(0, \pi)$, **the null-controllability property** of the linear 1-d Schrödinger equation in the bounded interval $(0, \pi)$,

$$\begin{cases} y_t(t, x) - i y_{xx}(t, x) = 0, & x \in (0, \pi), t > 0 \\ y(t, 0) = 0, y(t, \pi) = v(t), & t > 0 \\ y(0, x) = y^0(x), & x \in (0, \pi) \end{cases} \quad (1)$$

consists of finding a scalar function $v \in L^2(0, T)$, called control, such that the corresponding solution y of (1) verifies

$$y(T, \cdot) = 0. \quad (2)$$

Methods to study the controllability

Several approaches are available for the study of a controllability problem:

- Moment theory

Methods to study the controllability

Several approaches are available for the study of a controllability problem:

- Moment theory
- Direct methods

Methods to study the controllability

Several approaches are available for the study of a controllability problem:

- Moment theory
- Direct methods
- Transmutation methods

Methods to study the controllability

Several approaches are available for the study of a controllability problem:

- Moment theory
- Direct methods
- Transmutation methods
- Uniform stabilization

Methods to study the controllability

Several approaches are available for the study of a controllability problem:

- Moment theory
- Direct methods
- Transmutation methods
- Uniform stabilization
- Optimization methods (Hilbert Uniqueness Method)

Methods to study the controllability

Several approaches are available for the study of a controllability problem:

- Moment theory
- Direct methods
- Transmutation methods
- Uniform stabilization
- Optimization methods (Hilbert Uniqueness Method)
 - Multipliers

Methods to study the controllability

Several approaches are available for the study of a controllability problem:

- Moment theory
- Direct methods
- Transmutation methods
- Uniform stabilization
- Optimization methods (Hilbert Uniqueness Method)
 - Multipliers
 - Carleman estimates

Methods to study the controllability

Several approaches are available for the study of a controllability problem:

- Moment theory
- Direct methods
- Transmutation methods
- Uniform stabilization
- Optimization methods (Hilbert Uniqueness Method)
 - Multipliers
 - Carleman estimates
 - Microlocal Analysis

Methods to study the controllability

Several approaches are available for the study of a controllability problem:

- **Moment theory**
- Direct methods
- Transmutation methods
- Uniform stabilization
- Optimization methods (Hilbert Uniqueness Method)
 - Multipliers
 - Carleman estimates
 - Microlocal Analysis

Controllability of the one dimensional heat equation

Fattorini H. O. and Russell D. L., Exact controllability theorems for linear parabolic equations in one space dimension, Arch. Rat. Mech. Anal., 4 (1971), 272-292.

Controllability of the one dimensional heat equation

Fattorini H. O. and Russell D. L., Exact controllability theorems for linear parabolic equations in one space dimension, Arch. Rat. Mech. Anal., 4 (1971), 272-292.

- Moment problem
- Construction and evaluation of biorthogonals

Moment problem for the Schrödinger equation

The null-controllability of the Schrödinger equation is equivalent to solve the following moment problem:

For any $y^0 = \sum_{n=1}^{\infty} a_n \sin(nx)$, find $v \in L^2(0, T)$ such that

$$\int_{-\frac{T}{2}}^{\frac{T}{2}} v \left(s + \frac{T}{2} \right) e^{s\bar{\nu}_n} ds = \frac{(-1)^n \pi}{2ni} e^{-\frac{T}{2}\bar{\nu}_n} a_n \quad \forall n \geq 1, \quad (3)$$

where $\nu_n = -in^2$ are the eigenvalues of the unbounded skew-adjoint differential operator corresponding to (1).

Moment problem for the Schrödinger equation

For any $T > \frac{2\pi}{\gamma_\infty}$ the following inequality holds

Ingham's inequality

$$\sum_{n \geq 1} |\alpha_n|^2 \leq C(T) \int_{-\frac{T}{2}}^{\frac{T}{2}} \left| \sum_{n \geq 1} \alpha_n e^{\nu_n t} \right|^2 dt \quad \forall (\alpha_n)_{n \geq 1} \in \ell^2. \quad (4)$$

Moment problem for the Schrödinger equation

For any $T > \frac{2\pi}{\gamma_\infty}$ the following inequality holds

Ingham's inequality

$$\sum_{n \geq 1} |\alpha_n|^2 \leq C(T) \int_{-\frac{T}{2}}^{\frac{T}{2}} \left| \sum_{n \geq 1} \alpha_n e^{\nu_n t} \right|^2 dt \quad \forall (\alpha_n)_{n \geq 1} \in \ell^2. \quad (4)$$

In our case (4) holds for any $T > 0$ due to the fact that

$$\gamma_\infty := \liminf_{n \rightarrow \infty} |\nu_{n+1} - \nu_n| = \infty.$$

Moment problem for the Schrödinger equation

For any $T > \frac{2\pi}{\gamma_\infty}$ the following inequality holds

Ingham's inequality

$$\sum_{n \geq 1} |\alpha_n|^2 \leq C(T) \int_{-\frac{T}{2}}^{\frac{T}{2}} \left| \sum_{n \geq 1} \alpha_n e^{\nu_n t} \right|^2 dt \quad \forall (\alpha_n)_{n \geq 1} \in \ell^2. \quad (4)$$

In our case (4) holds for any $T > 0$ due to the fact that

$$\gamma_\infty := \liminf_{n \rightarrow \infty} |\nu_{n+1} - \nu_n| = \infty.$$

Consequently, the moment problem has a solution $v \in L^2(0, T)$ for each $y^0 \in H^{-1}(0, \pi)$. This solution is not unique since the family of exponential functions $(e^{\nu_n t})_{n \geq 1}$ is not complete in $L^2(0, T)$.

Moment problem for the Schrödinger equation

A solution v of the moment problem may be constructed by means of a biorthogonal family to the sequence $(e^{\nu_n t})_{n \geq 1}$.

Moment problem for the Schrödinger equation

A solution v of the moment problem may be constructed by means of a biorthogonal family to the sequence $(e^{\nu_n t})_{n \geq 1}$.

Definition

A family of functions $(\phi_m)_{m \geq 1} \subset L^2(-\frac{T}{2}, \frac{T}{2})$ with the property

$$\int_{-\frac{T}{2}}^{\frac{T}{2}} \phi_m(t) e^{\bar{\nu}_n t} dt = \delta_{mn} \quad \forall m, n \geq 1, \quad (5)$$

is called a biorthogonal sequence to $(e^{\nu_n t})_{n \geq 1}$ in $L^2(-\frac{T}{2}, \frac{T}{2})$.

Moment problem for the Schrödinger equation

A solution v of the moment problem may be constructed by means of a biorthogonal family to the sequence $(e^{\nu_n t})_{n \geq 1}$.

Definition

A family of functions $(\phi_m)_{m \geq 1} \subset L^2\left(-\frac{T}{2}, \frac{T}{2}\right)$ with the property

$$\int_{-\frac{T}{2}}^{\frac{T}{2}} \phi_m(t) e^{\bar{\nu}_n t} dt = \delta_{mn} \quad \forall m, n \geq 1, \quad (5)$$

is called a biorthogonal sequence to $(e^{\nu_n t})_{n \geq 1}$ in $L^2\left(-\frac{T}{2}, \frac{T}{2}\right)$.

Once we have a biorthogonal sequence to $(e^{\nu_n t})_{n \geq 1}$, it follows that a “formal” solution of the moment problem is given by

$$v(t) = \sum_{n \geq 1} \frac{(-1)^n \pi a_n}{2n i} \phi_n \left(t - \frac{T}{2} \right) e^{-\frac{T}{2} \bar{\nu}_n} \quad \forall t \in (0, T). \quad (6)$$

Moment problem for the Schrödinger equation

How to get a biorthogonal sequence to $(e^{\nu_n t})_{n \geq 1}$ in $L^2(-\frac{T}{2}, \frac{T}{2})$?

Moment problem for the Schrödinger equation

How to get a biorthogonal sequence to $(e^{\nu_n t})_{n \geq 1}$ in $L^2(-\frac{T}{2}, \frac{T}{2})$?

$$\widehat{\phi}_m(z) = \int_{-\frac{T}{2}}^{\frac{T}{2}} \phi_m(t) e^{-izt} dt \quad (\text{Fourier transform of } \phi_m).$$

$$\phi_m \in L^2\left(-\frac{T}{2}, \frac{T}{2}\right) \Rightarrow \begin{cases} \widehat{\phi}_m \text{ is entire function of exponential type } \frac{T}{2} \\ \widehat{\phi}_m \in L^2(\mathbb{R}). \end{cases}$$

$$\int_{-\frac{T}{2}}^{\frac{T}{2}} \phi_m(t) e^{\bar{\nu}_n t} dt = \delta_{mn} \Rightarrow \widehat{\phi}_m(i\bar{\nu}_n) = \delta_{mn}, \quad n \geq 1.$$

Moment problem for the Schrödinger equation

How to get a biorthogonal sequence to $(e^{\nu_n t})_{n \geq 1}$ in $L^2(-\frac{T}{2}, \frac{T}{2})$?

$$\widehat{\phi}_m(z) = \int_{-\frac{T}{2}}^{\frac{T}{2}} \phi_m(t) e^{-izt} dt \quad (\text{Fourier transform of } \phi_m).$$

$$\phi_m \in L^2\left(-\frac{T}{2}, \frac{T}{2}\right) \Rightarrow \begin{cases} \widehat{\phi}_m \text{ is entire function of exponential type } \frac{T}{2} \\ \widehat{\phi}_m \in L^2(\mathbb{R}). \end{cases}$$

$$\int_{-\frac{T}{2}}^{\frac{T}{2}} \phi_m(t) e^{\bar{\nu}_n t} dt = \delta_{mn} \Rightarrow \widehat{\phi}_m(i\bar{\nu}_n) = \delta_{mn}, \quad n \geq 1.$$

$\widehat{\phi}_m(z)$ with the above properties + Inverse Fourier Transform + Paley-Wiener Theorem $\Rightarrow \phi_m$.

Controllability of the perturbed Schrödinger equation

Our aim is to study the possibility of obtaining a control for (1) as limit of controls of the following perturbed equations

$$\begin{cases} y_t(t, x) - i y_{xx}(t, x) & = 0, & x \in (0, \pi), t > 0 \\ y(t, 0) = 0, y(t, \pi) = v(t), & & t > 0 \\ y(0, x) = y^0(x), & & x \in (0, \pi), \end{cases} \quad (7)$$

Controllability of the perturbed Schrödinger equation

Our aim is to study the possibility of obtaining a control for (1) as limit of controls of the following perturbed equations

$$\begin{cases} y_t(t, x) - i y_{xx}(t, x) - \varepsilon y_{xx}(t, x) = 0, & x \in (0, \pi), t > 0 \\ y(t, 0) = 0, y(t, \pi) = v_\varepsilon(t), & t > 0 \\ y(0, x) = y^0(x), & x \in (0, \pi), \end{cases} \quad (7)$$

where ε is a small parameter devoted to tend to zero.

Controllability of the perturbed Schrödinger equation

Our aim is to study the possibility of obtaining a control for (1) as limit of controls of the following perturbed equations

$$\begin{cases} y_t(t, x) - i y_{xx}(t, x) - \varepsilon y_{xx}(t, x) = 0, & x \in (0, \pi), t > 0 \\ y(t, 0) = 0, y(t, \pi) = v_\varepsilon(t), & t > 0 \\ y(0, x) = y^0(x), & x \in (0, \pi), \end{cases} \quad (7)$$

where ε is a small parameter devoted to tend to zero.

Definition

A function $v_\varepsilon \in L^2(0, T)$ is called **control for the initial datum y^0 in time T** if the corresponding solution of (7) verifies $y(T, \cdot) = 0$. If, given any $y^0 \in H^{-1}(0, \pi)$, there exists a control $v_\varepsilon \in L^2(0, T)$ for y^0 in time T , we say that (7) is **null-controllable in time T** .

Controllability of the perturbed Schrödinger equation

We are interested in the following issue:

Given $T > 0$, $\varepsilon > 0$ and $y^0 \in H^{-1}(0, \pi)$, is there a control $v_\varepsilon \in L^2(0, T)$ for (7) such that the family $(v_\varepsilon)_{\varepsilon > 0}$ converges to a control of (1) when $\varepsilon \rightarrow 0$?

Controllability of the perturbed Schrödinger equation

We are interested in the following issue:

Given $T > 0$, $\varepsilon > 0$ and $y^0 \in H^{-1}(0, \pi)$, is there a control $v_\varepsilon \in L^2(0, T)$ for (7) such that the family $(v_\varepsilon)_{\varepsilon > 0}$ converges to a control of (1) when $\varepsilon \rightarrow 0$?

In (7), $-\varepsilon y_{xx}$ represents a viscous term. Indeed, if $v_\varepsilon = 0$,

$$\frac{d}{dt} \int_0^\pi |y(t, x)|^2 dx = -2\varepsilon \int_0^\pi |y_x(t, x)|^2 dx \leq 0 \quad \forall t \geq 0, \quad (8)$$

and the L^2 -norm of the solution y of (7) is decreasing in time.

Controllability of the perturbed Schrödinger equation

- (7) may be viewed as a Schrödinger equation with an added viscous term. As such it models an array of optical fibers in a weakly lossy medium.

M. Salerno, B. A. Malomed, V. V. Konotop, Shock wave dynamics in a discrete nonlinear Schrödinger equation with internal losses, *Physical Review*, 62 (2000), 8651-8656.

- (7) is known as the linear complex Ginzburg-Landau equation. With a cubic nonlinearity, it plays an important role in the theory of amplitude equations and provides a simple model for turbulence.

C. D. Levermore and M. Oliver, The complex Ginzburg-Landau equation as a model problem, in: *Dynamical Systems and Probabilistic Methods in PDE*, Berkeley, CA, 1994, in: *Lectures in Appl. Math.*, vol. 31, Amer. Math. Soc., Providence, RI, 1996, 141-190.

Controllability of the perturbed Schrödinger equation

The interest of this problem is justified by the use of the vanishing viscosity as a typical mechanism to

- Study Cauchy problems

R. J. DiPerna, Convergence of approximate solutions to conservation laws, Arch. Ration. Mech. Anal., 82 (1983), 27-70.

- Improve convergence of numerical schemes

L. Ignat and E. Zuazua, Numerical dispersive schemes for the nonlinear Schrödinger equation, SIAM J. Numer. Anal., 47 (2009), 1366-1390.

In both examples the viscosity is devoted to tend to zero in order to obtain the original system. Thus, a legitimate question is related to the behavior and the sensitivity of the controls during this process.

Controllability of the perturbed Schrödinger equation

Our controllability problem belongs to the interface between parabolic and hyperbolic equations and it is a **singular limit control problem**.

Controllability of the perturbed Schrödinger equation

Our controllability problem belongs to the interface between parabolic and hyperbolic equations and it is a **singular limit control problem**.

- 1 A. López, X. Zhang and E. Zuazua, Null controllability of the heat equation as singular limit of the exact controllability of dissipative wave equations, J. Math. Pures Appl., 79 (2000), 741-808.

Controllability of the perturbed Schrödinger equation

Our controllability problem belongs to the interface between parabolic and hyperbolic equations and it is a **singular limit control problem**.

- 1 A. López, X. Zhang and E. Zuazua, Null controllability of the heat equation as singular limit of the exact controllability of dissipative wave equations, *J. Math. Pures Appl.*, 79 (2000), 741-808.
- 2 J.-M. Coron and S. Guerrero, Singular optimal control: a linear 1-D parabolic-hyperbolic example, *Asymptot. Anal.*, 44 (2005), 237-257.

Controllability of the perturbed Schrödinger equation

Our controllability problem belongs to the interface between parabolic and hyperbolic equations and it is a **singular limit control problem**.

- 1 A. López, X. Zhang and E. Zuazua, Null controllability of the heat equation as singular limit of the exact controllability of dissipative wave equations, *J. Math. Pures Appl.*, 79 (2000), 741-808.
- 2 J.-M. Coron and S. Guerrero, Singular optimal control: a linear 1-D parabolic-hyperbolic example, *Asymptot. Anal.*, 44 (2005), 237-257.
- 3 O. Glass, A complex-analytic approach to the problem of uniform controllability of a transport equation in the vanishing viscosity limit, *Journal of Functional Analysis*, 258 (2010), 852-868.

Controllability of the perturbed Schrödinger equation

Our controllability problem belongs to the interface between parabolic and hyperbolic equations and it is a **singular limit control problem**.

- 1 A. López, X. Zhang and E. Zuazua, Null controllability of the heat equation as singular limit of the exact controllability of dissipative wave equations, *J. Math. Pures Appl.*, 79 (2000), 741-808.
- 2 J.-M. Coron and S. Guerrero, Singular optimal control: a linear 1-D parabolic-hyperbolic example, *Asymptot. Anal.*, 44 (2005), 237-257.
- 3 O. Glass, A complex-analytic approach to the problem of uniform controllability of a transport equation in the vanishing viscosity limit, *Journal of Functional Analysis*, 258 (2010), 852-868.
- 4 M. Léautaud, Uniform controllability of scalar conservation laws in the vanishing viscosity limit, preprint, 2010.

Controllability of the perturbed Schrödinger equation

- In [1] the authors pass to the limit in the dissipative wave equation to obtain a control for the heat equation.
- In [2,3] the controllability of the transport equation is considered by introducing a vanishing viscosity term. In [2] Carleman estimates are used to obtain a uniform bound for the family of controls. The same result is shown in [3], improving the control time, by means of nonharmonic Fourier analysis and biorthogonal technique.
- The recent article [4] deals with a nonlinear scalar conservation law perturbed by a small viscosity term and proves the uniform boundedness of the boundary controls.

Main result

▶ [Jump to the control problem](#)

▶ Jump to the control problem

Theorem

There exists $T > 0$ with the property that, for any $y^0 \in H^{-1}(0, \pi)$ and $\varepsilon \in (0, 1]$, there exists a control $v_\varepsilon \in L^2(0, T)$ of (7) such that the family $(v_\varepsilon)_{\varepsilon \in (0, 1]}$ is uniformly bounded in $L^2(0, T)$ and any weak limit v of it is a control in time T for the Schrödinger equation (1).

▶ Jump to the control problem

Theorem

There exists $T > 0$ with the property that, for any $y^0 \in H^{-1}(0, \pi)$ and $\varepsilon \in (0, 1]$, there exists a control $v_\varepsilon \in L^2(0, T)$ of (7) such that the family $(v_\varepsilon)_{\varepsilon \in (0, 1]}$ is uniformly bounded in $L^2(0, T)$ and any weak limit v of it is a control in time T for the Schrödinger equation (1).

- Uniform controllability in time T independent of ε .

▶ Jump to the control problem

Theorem

There exists $T > 0$ with the property that, for any $y^0 \in H^{-1}(0, \pi)$ and $\varepsilon \in (0, 1]$, there exists a control $v_\varepsilon \in L^2(0, T)$ of (7) such that the family $(v_\varepsilon)_{\varepsilon \in (0, 1]}$ is uniformly bounded in $L^2(0, T)$ and any weak limit v of it is a control in time T for the Schrödinger equation (1).

- Uniform controllability in time T independent of ε .
- $y^0 \in H^{-1}(0, \pi)$ is the optimal space.

▶ Jump to the control problem

Theorem

There exists $T > 0$ with the property that, for any $y^0 \in H^{-1}(0, \pi)$ and $\varepsilon \in (0, 1]$, there exists a control $v_\varepsilon \in L^2(0, T)$ of (7) such that the family $(v_\varepsilon)_{\varepsilon \in (0, 1]}$ is uniformly bounded in $L^2(0, T)$ and any weak limit v of it is a control in time T for the Schrödinger equation (1).

- Uniform controllability in time T independent of ε .
- $y^0 \in H^{-1}(0, \pi)$ is the optimal space.
- The same result should be true for arbitrary $T > 0$ (optimal time).

Proof of the main theorem: Step 1 - Moment problem

Given $y^0 = \sum_{n=1}^{\infty} a_n \sin(nx)$, its null-controllability is equivalent to solve the moment problem:

$$\int_{-\frac{T}{2}}^{\frac{T}{2}} v_{\varepsilon} \left(s + \frac{T}{2} \right) e^{s\bar{\mu}_n} ds = \frac{(-1)^n \pi}{2n(i + \varepsilon)} e^{-\frac{T}{2}\bar{\mu}_n} a_n \quad \forall n \geq 1, \quad (9)$$

where $\mu_n = \varepsilon n^2 - i n^2$ are the eigenvalues of the associated differential operator.

Proof of the main theorem: Step 1 - Moment problem

Given $y^0 = \sum_{n=1}^{\infty} a_n \sin(nx)$, its null-controllability is equivalent to solve the moment problem:

$$\int_{-\frac{T}{2}}^{\frac{T}{2}} v_{\varepsilon} \left(s + \frac{T}{2} \right) e^{s\bar{\mu}_n} ds = \frac{(-1)^n \pi}{2n(i + \varepsilon)} e^{-\frac{T}{2}\bar{\mu}_n} a_n \quad \forall n \geq 1, \quad (9)$$

where $\mu_n = \varepsilon n^2 - i n^2$ are the eigenvalues of the associated differential operator.

- The exponents μ_n are no longer purely imaginary. We cannot use Ingham's inequality.
- Can we apply the technique of [Fattorini H. O. and Russell D. L.](#)?

Proof of the main theorem: Step 2 - Biorthogonals

A solution v of the moment problem may be constructed by means of a biorthogonal family to the sequence $(e^{\mu_n t})_{n \geq 1}$.

Proof of the main theorem: Step 2 - Biorthogonals

A solution v of the moment problem may be constructed by means of a biorthogonal family to the sequence $(e^{\mu_n t})_{n \geq 1}$.

Definition

A family of functions $(\zeta_m)_{m \geq 1} \subset L^2(-\frac{T}{2}, \frac{T}{2})$ with the property

$$\int_{-\frac{T}{2}}^{\frac{T}{2}} \zeta_m(t) e^{\bar{\mu}_n t} dt = \delta_{mn} \quad \forall m, n \geq 1, \quad (10)$$

is called a biorthogonal sequence to $(e^{\mu_n t})_{n \geq 1}$ in $L^2(-\frac{T}{2}, \frac{T}{2})$.

Proof of the main theorem: Step 2 - Biorthogonals

A solution v of the moment problem may be constructed by means of a biorthogonal family to the sequence $(e^{\mu_n t})_{n \geq 1}$.

Definition

A family of functions $(\zeta_m)_{m \geq 1} \subset L^2(-\frac{T}{2}, \frac{T}{2})$ with the property

$$\int_{-\frac{T}{2}}^{\frac{T}{2}} \zeta_m(t) e^{\bar{\mu}_n t} dt = \delta_{mn} \quad \forall m, n \geq 1, \quad (10)$$

is called a biorthogonal sequence to $(e^{\mu_n t})_{n \geq 1}$ in $L^2(-\frac{T}{2}, \frac{T}{2})$.

- The element ζ_m of the biorthogonal sequence controls the one mode initial datum $y_m^0(x) = \sin(mx)$.
- If we have a finite combination of modes that we want to control, we consider a finite combination of biorthogonals.

Proof of the main theorem: Step 2 - Biorthogonals

If we have an initial datum with an infinity of modes, a “formal” solution of the moment problem is given by

$$v_\varepsilon(t) = \sum_{n=1}^{\infty} \frac{(-1)^n \pi a_n}{2n(i + \varepsilon)} e^{-\frac{T}{2} \bar{\mu}_n} \zeta_n \left(t - \frac{T}{2} \right) \quad \forall t \in (0, T). \quad (11)$$

Proof of the main theorem: Step 2 - Biorthogonals

If we have an initial datum with an infinity of modes, a “formal” solution of the moment problem is given by

$$v_\varepsilon(t) = \sum_{n=1}^{\infty} \frac{(-1)^n \pi a_n}{2n(i + \varepsilon)} e^{-\frac{T}{2} \bar{\mu}_n} \zeta_n \left(t - \frac{T}{2} \right) \quad \forall t \in (0, T). \quad (11)$$

The absolute convergence of this series depends on

- The Fourier coefficients a_n of the controlled initial datum y^0 .
- The norm of the biorthogonals elements ζ_n .
- The exponentials $e^{-\frac{T}{2} \bar{\mu}_n}$ “help” the convergence in the range $n \geq \frac{1}{\sqrt{\varepsilon}}$,

$$\left| e^{-\frac{T}{2} \bar{\mu}_n} \right| = e^{-\frac{T}{2} \varepsilon n^2}.$$

Proof of the main theorem: Step 2 - Biorthogonals

If we have an initial datum with an infinity of modes, a “formal” solution of the moment problem is given by

$$v_\varepsilon(t) = \sum_{n=1}^{\infty} \frac{(-1)^n \pi a_n}{2n(i + \varepsilon)} e^{-\frac{T}{2} \bar{\mu}_n} \zeta_n \left(t - \frac{T}{2} \right) \quad \forall t \in (0, T). \quad (11)$$

To prove the existence of a control v_ε for any $y^0 \in H^{-1}(0, \pi)$ and to get uniform bounds for its L^2 -norm we need:

- Existence of biorthogonals
- Evaluation of their norms
 - uniformly bounded in the range $n < \frac{1}{\sqrt{\varepsilon}}$.
 - not too big in the range $n \geq \frac{1}{\sqrt{\varepsilon}}$.

Proof of the main theorem: Step 3 - Existence

The existence of a biorthogonal family to $(e^{\mu_n t})_{n \geq 1}$ in $L^2(-\frac{T}{2}, \frac{T}{2})$ follows from the lack of completeness of the family $(e^{\mu_n t})_{n \geq 1}$ in $L^2(-\frac{T}{2}, \frac{T}{2})$.

Theorem (Müntz-Szász, 1916)

Let $(\lambda_n)_{n \geq 1}$ be a sequence of complex numbers such that $\liminf_{n \rightarrow \infty} \Re(\lambda_n) > 0$ and $T > 0$. Then the set of functions $(e^{-\lambda_n t})_{n \geq 1}$ is complete in $L^2(0, T)$ if and only if

$$\sum_{n=1}^{\infty} \frac{\Re(\lambda_n)}{1 + |\lambda_n|^2} = \infty. \quad (12)$$

In our case: $\sum_{n=1}^{\infty} \frac{\Re(\mu_n)}{1 + |\mu_n|^2} = \sum_{n=1}^{\infty} \frac{\varepsilon n^2}{1 + n^4 + \varepsilon^2 n^4} < \infty$.

Proof of the main theorem: Step 4 - Evaluation

The evaluation of the norm of a biorthogonal family to $(e^{\mu_n t})_{n \geq 1}$ in $L^2(-\frac{T}{2}, \frac{T}{2})$ is obtained from an explicit construction.

Theorem

There exists $T > 0$ such that, for any $\varepsilon \in (0, 1]$, we find a biorthogonal sequence $(\zeta_m)_{m \in \mathbb{N}^}$ to the family $(e^{\mu_m t})_{m \in \mathbb{N}^*}$ in $L^2(-\frac{T}{2}, \frac{T}{2})$ with the following property*

$$\|\zeta_m\|_{L^2(-\frac{T}{2}, \frac{T}{2})} \leq C \exp(\alpha |\Re(\mu_m)|) \quad \forall m \geq 1, \quad (13)$$

where C and α are positive constants independent of ε and m .

Proof of the main theorem: Step 4 - Evaluation

Proof: For any $m \in \mathbb{N}^*$, we define the function

$$P_m(z) = \prod_{\substack{n \in \mathbb{Z}^* \\ |n| \neq m}} \left(1 - \frac{z}{i\lambda_n}\right) \left(\frac{\lambda_n}{\lambda_n - \lambda_m}\right), \quad (14)$$

where

$$\lambda_n = \begin{cases} in + \varepsilon n, & \text{if } n = q^2 & \text{with } q \in \mathbb{N}^* \\ i\sqrt{1 + \varepsilon^2} n, & \text{if } n \neq q^2, n > 0 & \text{with } q \in \mathbb{N}^* \\ \bar{\lambda}_{-n}, & \text{if } n < 0. \end{cases}$$

Note that $\lambda_{p^2} = \bar{\mu}_p$, for any $p \geq 1$. Hence, the family $(\lambda_m)_{m \geq 1}$ is “larger” than $(\mu_p)_{p \geq 1}$. This extension of the family of exponents will be very important for the behavior on the real axis of P_m .

Proof of the main theorem: Step 4 - Evaluation

Lemma

For each $m \geq 1$ of the form $m = p^2$ with $p \in \mathbb{N}^$, P_m is an entire function of exponential type independent of ε such that*

$$P_m(i\lambda_n) = \delta_{mn}, \quad n \in \mathbb{N}^*. \quad (15)$$

Proof of the main theorem: Step 4 - Evaluation

Lemma

For each $m \geq 1$ of the form $m = p^2$ with $p \in \mathbb{N}^$, P_m is an entire function of exponential type independent of ε such that*

$$P_m(i\lambda_n) = \delta_{mn}, \quad n \in \mathbb{N}^*. \quad (15)$$

Lemma

For each $m \geq 1$ of the form $m = p^2$ with $p \in \mathbb{N}^$, the function P_m defined by (14) has the following property*

$$|P_m(x)| \leq C \exp(32\varepsilon\sqrt{|x|} + 16\varepsilon\Re(\lambda_m)) \quad \forall x \in \mathbb{R}, \quad (16)$$

where C is a positive constant, independent of ε and m .

Proof of the main theorem: Step 4 - Evaluation

Lemma

For any $\varepsilon \in (0, 1]$ and $m \geq 1$ of the form $m = p^2$ with $p \in \mathbb{N}^*$, there exists a function $M_{m,\varepsilon} : \mathbb{C} \rightarrow \mathbb{C}$ such that

- 1 $M_{m,\varepsilon}$ is an entire function of exponential type independent of ε and m .
- 2 $|M_{m,\varepsilon}(x)| \leq \exp\left(-\varepsilon\sqrt{|x|}\right)$ for all $x \in \mathbb{R}$.
- 3 $|M_{m,\varepsilon}(i\lambda_m)| \geq C \exp(-R|\Re(\lambda_m)|)$,

where C and R are positive constants independent of ε and m .

Proof of the main theorem: Step 4 - Evaluation

For each $m \in \mathbb{N}^*$ we define the function

$$\Psi_m(z) = P_{m^2}(z) \left(\frac{M_{m^2,\varepsilon}(z)}{M_{m^2,\varepsilon}(i\lambda_{m^2})} \right)^{32} \frac{\sin(\gamma(z - i\lambda_{m^2}))}{\gamma(z - i\lambda_{m^2})} \quad \forall z \in \mathbb{C},$$

where $\gamma > 0$ is an arbitrary constant independent of ε and m .

Proof of the main theorem: Step 4 - Evaluation

For each $m \in \mathbb{N}^*$ we define the function

$$\Psi_m(z) = P_{m^2}(z) \left(\frac{M_{m^2,\varepsilon}(z)}{M_{m^2,\varepsilon}(i\lambda_{m^2})} \right)^{32} \frac{\sin(\gamma(z - i\lambda_{m^2}))}{\gamma(z - i\lambda_{m^2})} \quad \forall z \in \mathbb{C},$$

where $\gamma > 0$ is an arbitrary constant independent of ε and m .

- Ψ_m is an entire function of exponential type $\frac{T}{2} > 0$.
- $\Psi_m \in L^2(\mathbb{R})$, $\|\Psi_m\|_{L^2(\mathbb{R})} \leq C \exp(\alpha|\Re(\mu_m)|)$.
- $\Psi_m(i\bar{\mu}_n) = \delta_{mn}$.

Proof of the main theorem: Step 4 - Evaluation

From Paley-Wiener's Theorem we deduce that there exists $(\zeta_m)_{m \geq 1} \subset L^2(-\frac{T}{2}, \frac{T}{2})$ such that

$$\Psi_m(z) = \int_{-\frac{T}{2}}^{\frac{T}{2}} \zeta_m(t) e^{-izt} dx \quad \forall m \geq 1.$$

- $(\zeta_m)_{m \geq 1} \subset L^2(-\frac{T}{2}, \frac{T}{2})$ is a biorthogonal sequence.
- $\|\zeta_m\|_{L^2(-\frac{T}{2}, \frac{T}{2})} = 2\pi \|\Psi_m\|_{L^2(\mathbb{R})} \leq C \exp(\alpha |\Re(\mu_m)|)$.

End of the proof.

The simplest function P_m does not work. Indeed, if we choose

$$P_m(z) = \prod_{\substack{n \in \mathbb{Z}^* \\ |n| \neq m}} \left(1 - \frac{z}{i\bar{\mu}_n} \right) \left(\frac{\bar{\mu}_n}{\bar{\mu}_n - \bar{\mu}_m} \right), \quad (17)$$

we have an entire function which verifies the necessary relations $P_m(i\bar{\mu}_n) = \delta_{mn}$. Moreover, a product like (17), has arbitrarily small exponential type and eventually would allow to deduce a controllability result for any $T > 0$.

The simplest function P_m does not work. Indeed, if we choose

$$P_m(z) = \prod_{\substack{n \in \mathbb{Z}^* \\ |n| \neq m}} \left(1 - \frac{z}{i\bar{\mu}_n} \right) \left(\frac{\bar{\mu}_n}{\bar{\mu}_n - \bar{\mu}_m} \right), \quad (17)$$

we have an entire function which verifies the necessary relations $P_m(i\bar{\mu}_n) = \delta_{mn}$. Moreover, a product like (17), has arbitrarily small exponential type and eventually would allow to deduce a controllability result for any $T > 0$.

However, P_m is useless. Indeed,

$$|P_m(x)| \geq C_1 \exp\left(C_2 \sqrt{|x|}\right) \quad \forall x \in \mathbb{R}$$

with C_1 and C_2 two positive constants independent of ε .

For each $\varepsilon \in (0, 1]$ and $m \geq 1$, we need a multiplier $M_{m,\varepsilon}$,

$$|M_{m,\varepsilon}(x)| \leq \exp\left(-C_2\sqrt{|x|}\right) \quad \forall x \in \mathbb{R}, \quad (18)$$

$$|M_{m,\varepsilon}(i\bar{\mu}_m)| \geq \exp(-C_3|\Re(\mu_m)|) = \exp(-C_3\varepsilon m^2), \quad (19)$$

with C_3 a positive constant independent of ε .

For each $\varepsilon \in (0, 1]$ and $m \geq 1$, we need a multiplier $M_{m,\varepsilon}$,

$$|M_{m,\varepsilon}(x)| \leq \exp\left(-C_2\sqrt{|x|}\right) \quad \forall x \in \mathbb{R}, \quad (18)$$

$$|M_{m,\varepsilon}(i\bar{\mu}_m)| \geq \exp(-C_3|\Re(\mu_m)|) = \exp(-C_3\varepsilon m^2), \quad (19)$$

with C_3 a positive constant independent of ε .

$$G_{m,\varepsilon}(z) = M_{m,\varepsilon}(z)e^{(B+\eta)z}e^{C_2\sqrt{-z}}$$

From the Phragmén-Lindelöf Theorem, there exists a constant $C > 0$, independent of ε and m , such that

$$|G_{m,\varepsilon}(x + iy)| \leq C, \quad x \leq 0, \quad y \geq 0.$$

For each $\varepsilon \in (0, 1]$ and $m \geq 1$, we need a multiplier $M_{m,\varepsilon}$,

$$|M_{m,\varepsilon}(x)| \leq \exp\left(-C_2\sqrt{|x|}\right) \quad \forall x \in \mathbb{R}, \quad (18)$$

$$|M_{m,\varepsilon}(i\bar{\mu}_m)| \geq \exp(-C_3|\Re(\mu_m)|) = \exp(-C_3\varepsilon m^2), \quad (19)$$

with C_3 a positive constant independent of ε .

$$G_{m,\varepsilon}(z) = M_{m,\varepsilon}(z)e^{(B+\eta)z}e^{C_2\sqrt{-z}}$$

From the Phragmén-Lindelöf Theorem, there exists a constant $C > 0$, independent of ε and m , such that

$$|G_{m,\varepsilon}(x + iy)| \leq C, \quad x \leq 0, \quad y \geq 0.$$

This gives the contradiction:

$$|M_{m,\varepsilon}(i\bar{\mu}_m)| \leq C \exp\left(-\frac{m}{\sqrt{2}}\right), \quad m \geq 1. \quad (20)$$

- For any finite sequence $(a_n)_{n \geq 1}$, the following weighted Ingham type inequality holds

$$\sum_{n \geq 1} |a_n|^2 e^{-2\alpha |\Re(\mu_n)|} \leq C(T) \int_{-\frac{T}{2}}^{\frac{T}{2}} \left| \sum_{n \geq 1} a_n e^{\mu_n t} \right|^2 dt. \quad (21)$$

- For any finite sequence $(a_n)_{n \geq 1}$, the following weighted Ingham type inequality holds

$$\sum_{n \geq 1} |a_n|^2 e^{-2\alpha |\Re(\mu_n)|} \leq C(T) \int_{-\frac{T}{2}}^{\frac{T}{2}} \left| \sum_{n \geq 1} a_n e^{\mu_n t} \right|^2 dt. \quad (21)$$

- Other types of control problem can be addressed: punctual controls $\delta_{x_0} v(t)$ or lumped controls $v(t)g(x)$.

- For any finite sequence $(a_n)_{n \geq 1}$, the following weighted Ingham type inequality holds

$$\sum_{n \geq 1} |a_n|^2 e^{-2\alpha |\Re(\mu_n)|} \leq C(T) \int_{-\frac{T}{2}}^{\frac{T}{2}} \left| \sum_{n \geq 1} a_n e^{\mu_n t} \right|^2 dt. \quad (21)$$

- Other types of control problem can be addressed: punctual controls $\delta_{x_0} v(t)$ or lumped controls $v(t)g(x)$.
- Can we extend the result to several dimensions? Probably yes, by using **Carleman estimates** (work in progress).

$$\left\{ \begin{array}{l} u_j''(t) - \frac{u_{j+1}(t) + u_{j-1}(t) - 2u_j(t)}{h^2} - \varepsilon \frac{u'_{j+1}(t) + u'_{j-1}(t) - 2u'_j(t)}{h^2} = 0 \\ u_0(t) = 0, \quad u_{N+1}(t) = v_h(t), \\ u_j(0) = u_j^0(x), \quad u'_j = u_j^1(x). \end{array} \right. \quad (22)$$

$$\begin{cases} u_j''(t) - \frac{u_{j+1}(t) + u_{j-1}(t) - 2u_j(t)}{h^2} - \varepsilon \frac{u'_{j+1}(t) + u'_{j-1}(t) - 2u'_j(t)}{h^2} = 0 \\ u_0(t) = 0, \quad u_{N+1}(t) = v_h(t), \\ u_j(0) = u_j^0(x), \quad u'_j = u_j^1(x). \end{cases} \quad (22)$$

- If $\varepsilon = h$ there exists a sequence of boundary controls for (22), $(v_h)_{h>0}$ which is uniformly bounded in $L^2(0, T)$ and any weak limit of it is a boundary control of the continuous wave equation.

SM: Uniform boundary controllability of a semidiscrete 1-D wave equation with vanishing viscosity, SIAM

J. Cont. Optim., 47 (2008) 2857-2885.

- If $\varepsilon = h^2$ this is no longer true.
- What is happening when $\varepsilon = h^\alpha$ with $\alpha \in (1, 2)$?

$$\begin{cases} u_t + i(-\Delta)^{\frac{1}{2}}u - \varepsilon\Delta^\alpha u = g(x)v_\varepsilon(t), & x \in (0, \pi), t > 0 \\ u(t, 0) = u(t, \pi) = 0, & t > 0 \\ u(0, x) = u_0(x), & x \in (0, \pi), \end{cases} \quad (23)$$

$$\begin{cases} u_t + i(-\Delta)^{\frac{1}{2}}u - \varepsilon\Delta^\alpha u = g(x)v_\varepsilon(t), & x \in (0, \pi), t > 0 \\ u(t, 0) = u(t, \pi) = 0, & t > 0 \\ u(0, x) = u_0(x), & x \in (0, \pi), \end{cases} \quad (23)$$

- $\alpha = 1/2$: We do not have spectral controllability: Müntz-Szász condition is not satisfied.
- $\alpha = 1$: The dissipation is very strong and we have uniform controllability.
SM, A. Pazoto, J. Ortega: Null-controllability of a hyperbolic equation as singular limit of parabolic ones, *Journal of Fourier Analysis and Applications*, 2011, to appear.
- $\alpha \in (1/2, 1)$? Müntz-Szász condition is still satisfied. Do we still have uniform controllability?

THANK YOU!