

**Homogenization in porous media and  
associated spectral problems:  
Robin boundary conditions with large  
adsorption parameters.**

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**Conca60**

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# Homogenization problems in perforated domains with nonlinear Robin type boundary conditions on the boundary of the cavities

Joint work: U. de Cantabria– Lomonosov Moscow State U. (1996–2014)

- *M. Lobo, O.A. Oleinik, T.A. Shaposhnikova (1997-1998)* - Linear problems

- *D. Gómez, M. Lobo, A.V. Podolskiy, T.A. Shaposhnikova, V.V. Sukharev, M.N. Zubova (2011 →)*

**Asymptotic behavior of the solution  $u_\varepsilon$  of  $P^\varepsilon$ ,  $\varepsilon \rightarrow 0$**

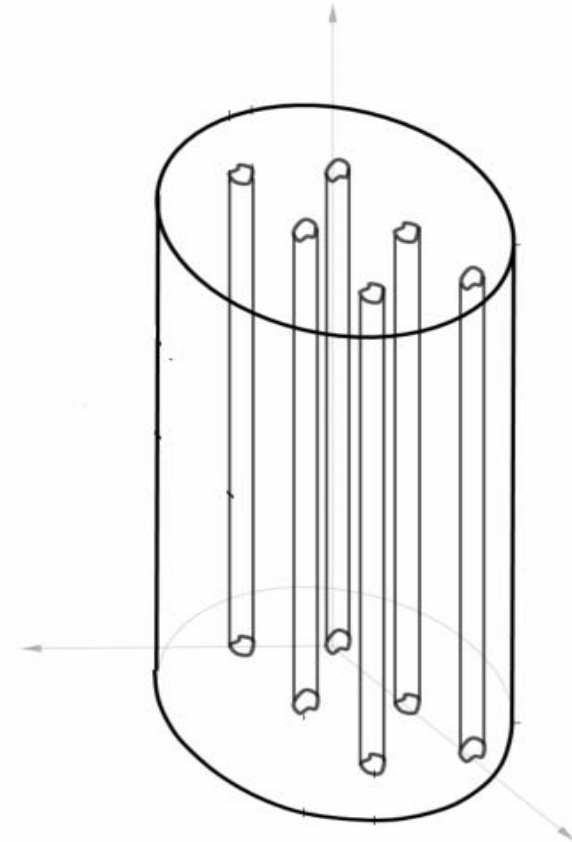
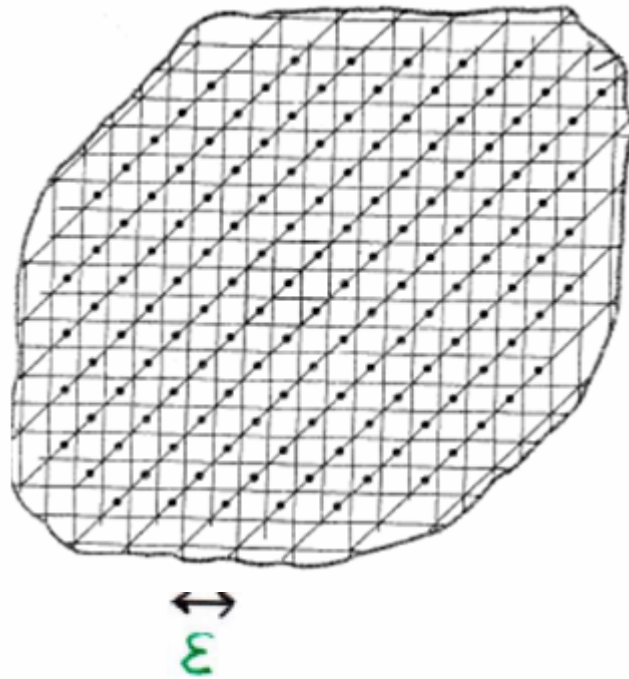
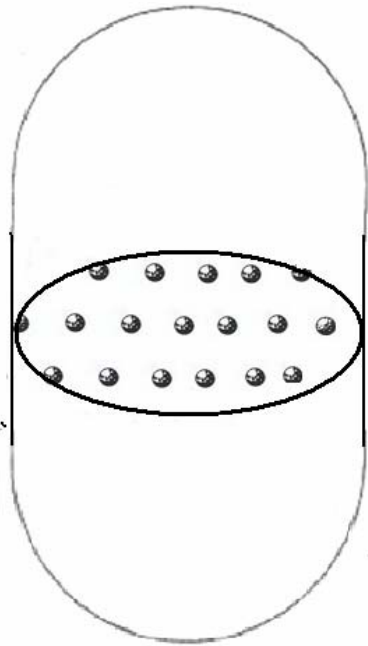
$$P^\varepsilon \begin{cases} -\Delta u_\varepsilon = f & \text{in } \Omega^\varepsilon \\ u_\varepsilon = 0 & \text{on } \partial\Omega \\ \frac{\partial u_\varepsilon}{\partial n} + \beta(\varepsilon)\sigma(x, u_\varepsilon) = 0 & \text{on } \bigcup \partial T^\varepsilon \end{cases}$$

$$\Omega \subset \mathbb{R}^n, \quad n = 2, 3, \dots \quad \& \quad T^\varepsilon \text{ perforations } \subset \Omega \\ \Omega^\varepsilon = \Omega \setminus \bigcup T^\varepsilon$$

# Geometrical configurations:

$\Omega \subset \mathbb{R}^n$ ,  $n = 2, 3, \dots$

$T^\varepsilon$  the perforations contained in  $\Omega$



$\varepsilon$  measures the periodicity /  $a_\varepsilon$  measures the size of the perforations

**Some authors:** *M.Goncharenko (1995), S.Kaizu (1989) & B.Cabarrubias, D.Cioranescu, C.Conca, J.I.Díaz, P.Donato, T.Durante, U.Hornung, W.Jäger, A.Liñan, T.Melnyk, M.Neuss-Radu, C.Timofte, R.Zaki, ...*

## The functions:

- $f \in L^2(\Omega)$
- $\sigma \equiv \sigma(x, u), \sigma \in C^1(\bar{\Omega} \times \mathbb{R}) /$

$$\sigma(x, 0) = 0, \quad 0 < k_1 \leq \frac{\partial \sigma}{\partial u}(x, u) \leq k_2, \quad x \in \bar{\Omega}, \quad u \in \mathbb{R},$$

...that can be weakened:

$$0 \leq \frac{\partial \sigma}{\partial u}(x, u) \leq k_2(1 + |u|^\delta), \quad \delta \in \left[0, \frac{2}{n-2}\right],$$

... and include some *adsorption laws*: Langmuir function

$$\sigma(u) = \frac{k_1 u}{1 + k_2 u}, \quad k_1, k_2 > 0, \quad u \in \mathbb{R}_+,$$

and others strictly increasing functions  $\sigma : [0, \infty) \rightarrow [0, \infty)$

## The parameters:

- $\varepsilon$  the period:  $\varepsilon \rightarrow 0$
- $a_\varepsilon$  the size of the perforations:  $a_\varepsilon = o(\varepsilon)$  or  $a_\varepsilon = O(\varepsilon)$
- $\beta(\varepsilon)$  the adsorption parameter:  $\beta(\varepsilon) \xrightarrow{\varepsilon \rightarrow 0} \infty$

As  $\varepsilon \rightarrow 0$ , we find **very different behaviours of the solution  $u_\varepsilon$  of  $P^\varepsilon$** , depending on the relations between  $\varepsilon$ ,  $a_\varepsilon$  and  $\beta(\varepsilon)$ !

**Critical relations for parameters:**  $\beta(\varepsilon) |\cup \partial T^\varepsilon| = O(1)$   
 $\Rightarrow$  a certain relation between  $\varepsilon$ ,  $a_\varepsilon$  and  $\beta(\varepsilon)$

- We deal with: *critical sizes, strange terms or foreign terms*,...
- Relations between parameters for which we have a different asymptotic behavior from *extreme cases/behaviors*: **it may depend...**

*V.A.Marchenko & E.Ya. Khruslov (1974), E.Sanchez-Palencia (1982), D.Cioranescu & F.Murat (1982), G.Allaire (1989), ...*

*C.Conca (1985), D.Cioranescu & P.Donato & H.Ene (1996), M.Lobo & O.A.Oleinik & M.E.Pérez & T.A.Shaposhnikova (1998),...*

# Outline

- A general situation:  
critical relations for parameters in  $P^\varepsilon$
- The case of perforations by tubes
- Application to the spectral convergence
- The case of perforations by balls along a plane
- Bounds for convergence rates of eigenvalues

# Outline

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**The critical relations for parameters:**  $\beta(\varepsilon)|\bigcup\partial T^\varepsilon| = O(1)$

$\beta(\varepsilon)$  *very large or very small* compared with  $|\bigcup\partial T^\varepsilon|^{-1}$

$a_\varepsilon$  *large or small* compared with the classical critical size

- The most critical case:  $\beta(\varepsilon)|\bigcup\partial T^\varepsilon| = O(1)$  + *critical size*  $T^\varepsilon$   
 $\Rightarrow$  *the strange term* contains a non-linear term  $H(x, u)$  solution of the functional equation

$$H = \tilde{c}\sigma(x, u - H)$$

where  $\tilde{c} > 0$  depends on  $n$  and the geometry of  $T^\varepsilon$ , and  $H$  satisfies the same properties of smoothness of  $\sigma$

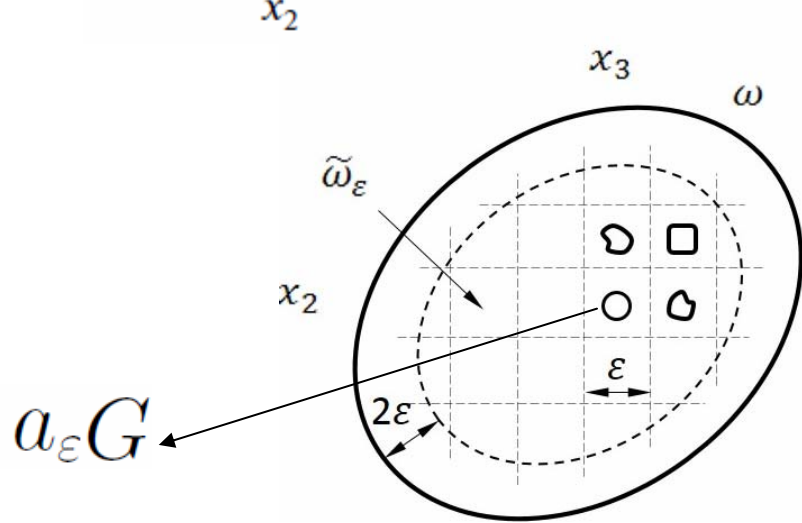
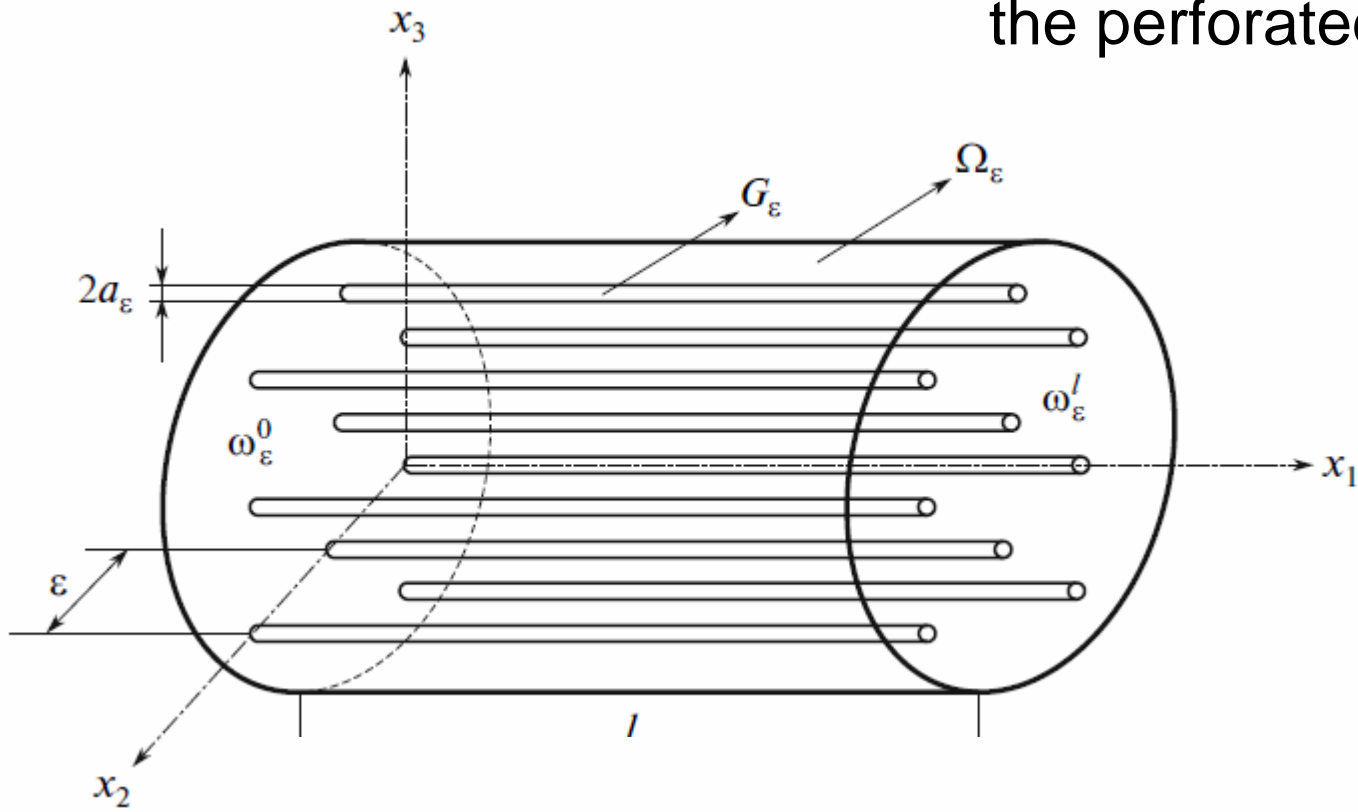
- $\beta(\varepsilon)|\bigcup\partial T^\varepsilon| = O(1)$  + *large sizes of*  $T^\varepsilon$   
 $\Rightarrow$  *the strange term contains*  $\tilde{c}\sigma(x, u)$
- Other relations:  $\beta(\varepsilon)$  *very large* + *critical size of*  $T^\varepsilon$   
 $\Rightarrow$  *a linear strange term not depending on*  $\sigma$
- Other extreme relations *do not take into account perforations or adsorption parameters*



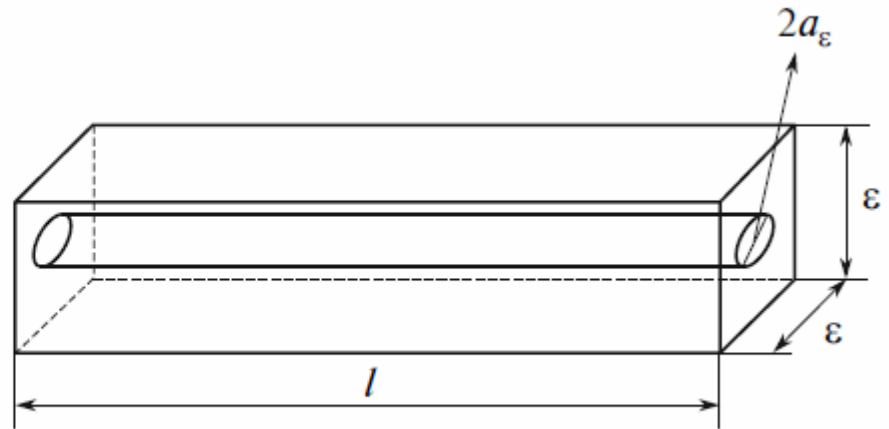
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the perforated domain



a basis of the domain



the periodicity cell

# Domain of $\mathbb{R}^3$ perforated by tubes.

## Homogenized problems $P^0$ : $a_\varepsilon \ll \varepsilon$ , $\varepsilon \rightarrow 0$

*D.Gómez, M.Lobo, E.Pérez, T.A.Shaposhnikova, M.N.Zubova (DM 2013, M2AS 2014, →)*

$$P^0 \begin{cases} -\Delta u + \varsigma(x, u) = f & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases}$$

- If  $\beta(\varepsilon)a_\varepsilon\varepsilon^{-2} \rightarrow C^2 > 0$  and  $\varepsilon^2 \ln(a_\varepsilon) \rightarrow -\alpha^2 < 0$

$$\varsigma(x, u) = \frac{2\pi}{\alpha^2} H(x, u), \quad 2\pi H = |\partial G| \alpha^2 C^2 \sigma(x, u - H)$$

- If  $\beta(\varepsilon)a_\varepsilon\varepsilon^{-2} \rightarrow C^2 > 0$  and  $\varepsilon^2 \ln(a_\varepsilon) \rightarrow 0$

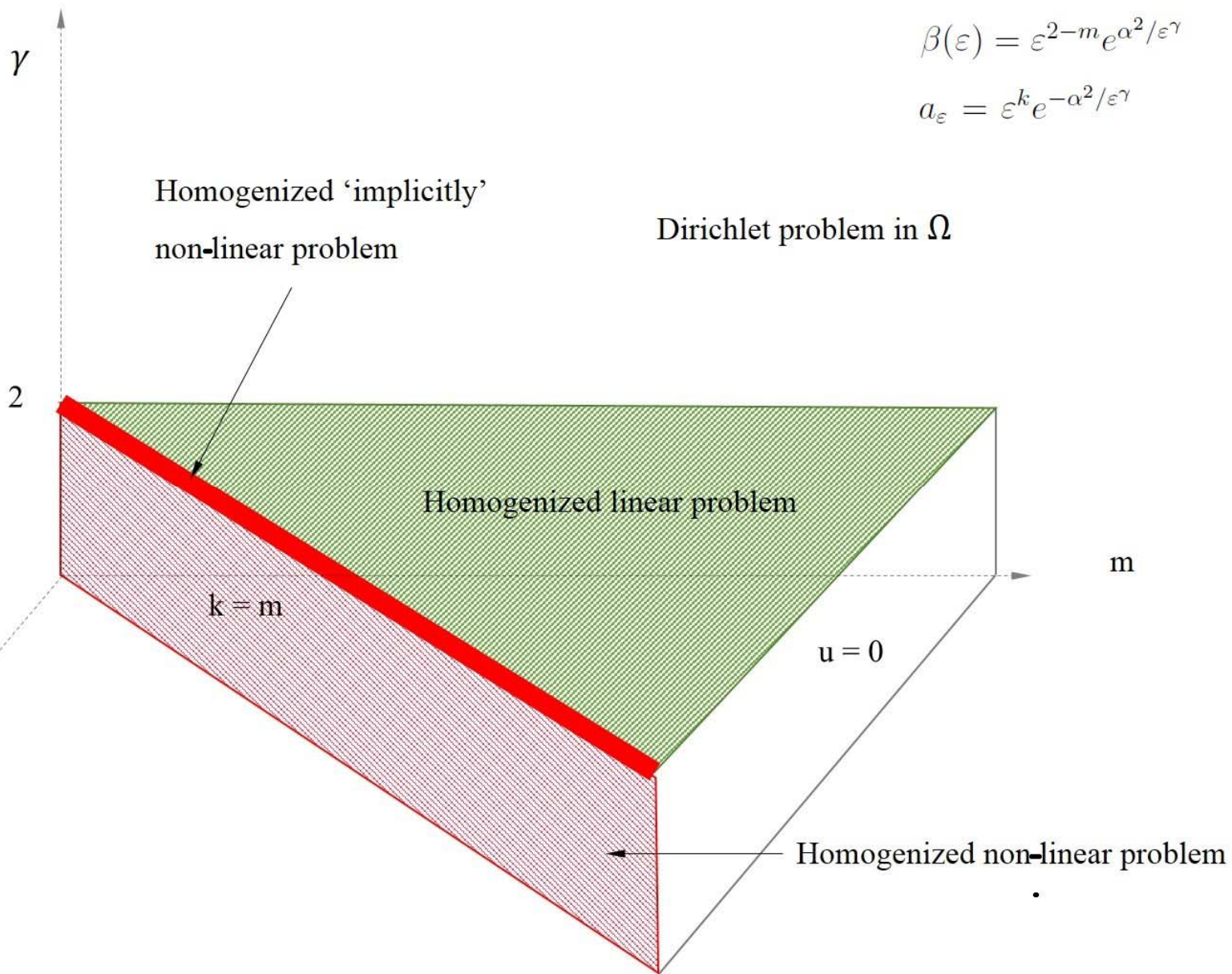
$$\varsigma(x, u) = |\partial G| C^2 \sigma(x, u)$$

- If  $\beta(\varepsilon)a_\varepsilon\varepsilon^{-2} \rightarrow \infty$  and  $\varepsilon^2 \ln(a_\varepsilon) \rightarrow -\alpha^2 < 0$

$$\varsigma(x, u) = \frac{2\pi}{\alpha^2} u$$

$$\beta(\varepsilon) = \varepsilon^{2-m} e^{\alpha^2/\varepsilon^\gamma}$$

$$a_\varepsilon = \varepsilon^k e^{-\alpha^2/\varepsilon^\gamma}$$



# Domain of $\mathbb{R}^3$ perforated by tubes: the spectral problem $P^\varepsilon$

Asymptotics for the eigenelements  $(\lambda^\varepsilon, u^\varepsilon)$  as  $\varepsilon \rightarrow 0$   
depending on the relations between  $\varepsilon$ ,  $a_\varepsilon$  and  $\beta(\varepsilon)$

$$a(x) \in C^1(\overline{\Omega}), a(x) > 0$$

$$\text{Fixed } \varepsilon : 0 < \lambda_1^\varepsilon \leq \lambda_2^\varepsilon \leq \dots \lambda_i^\varepsilon \leq \dots \xrightarrow{i \rightarrow \infty} \infty$$

The eigenfunctions  $\{u_i^\varepsilon\}_{i=1}^\infty$  basis in  $L^2(\Omega^\varepsilon)$  and  $H^1(\Omega^\varepsilon)$

$$P^\varepsilon \begin{cases} -\Delta u^\varepsilon = \lambda^\varepsilon u^\varepsilon & \text{in } \Omega^\varepsilon \\ u^\varepsilon = 0 & \text{on } \partial\Omega \setminus (\partial\Omega \cap \bigcup \overline{G^\varepsilon}) \\ \frac{\partial u^\varepsilon}{\partial n} + \beta(\varepsilon)a(x)u^\varepsilon = 0 & \text{on } \bigcup \partial G^\varepsilon \end{cases}$$

# The homogenized spectral problems

- when  $\beta(\varepsilon)a_\varepsilon\varepsilon^{-2} \rightarrow 0$  or  $\varepsilon^2 \ln(a_\varepsilon) \rightarrow -\infty$   
 $P^0$  is the spectral Dirichlet problem in  $\Omega$ ,
- when  $\beta(\varepsilon)a_\varepsilon\varepsilon^{-2} \rightarrow \infty$  and  $\varepsilon^2 \ln(a_\varepsilon) \rightarrow -\alpha^2 < 0$

$$P^0 \begin{cases} -\Delta u + \frac{2\pi}{\alpha^2}u = \lambda u & \text{in } \Omega, \\ u = 0 & \text{on } \Omega, \end{cases}$$

- when  $\beta(\varepsilon)a_\varepsilon\varepsilon^{-2} \rightarrow C^2 > 0$  and  $\varepsilon^2 \ln(a_\varepsilon) \rightarrow 0$

$$P^0 \begin{cases} -\Delta u + |\partial G|C^2a(x)u = \lambda u & \text{in } \Omega, \\ u = 0 & \text{on } \Omega, \end{cases}$$

- when  $\beta(\varepsilon)a_\varepsilon\varepsilon^{-2} \rightarrow C^2 > 0$  and  $\varepsilon^2 \ln(a_\varepsilon) \rightarrow -\alpha^2 < 0$

$$P^0 \begin{cases} -\Delta u + \frac{2\pi}{\alpha^2} \frac{|\partial G|\alpha^2 C^2 a(x)}{2\pi + |\partial G|\alpha^2 C^2 a(x)}u = \lambda u & \text{in } \Omega, \\ u = 0 & \text{on } \Omega, \end{cases}$$

*Convergence of  $(\lambda^\varepsilon, u^\varepsilon)$  towards the eigenelements of  $P^0$  with conservation of the multiplicity!*

# Outline

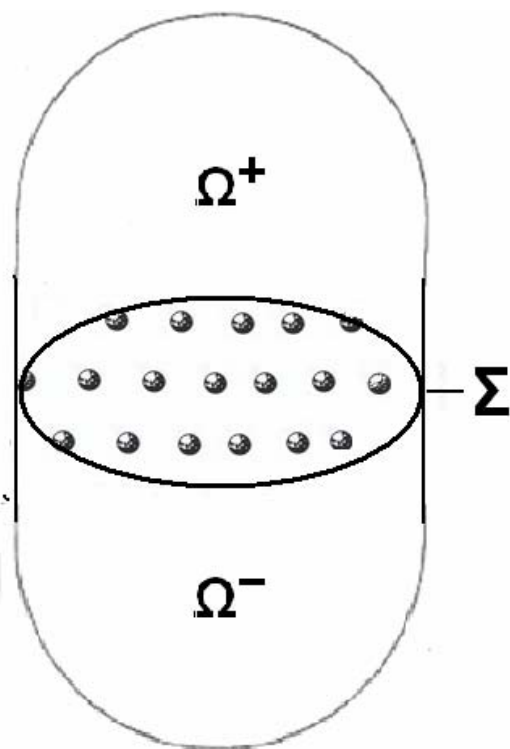
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# Boundary homogenization problems for perforated domains along planes: Robin type b.c. on the cavities

- D. Gómez, M. E. Pérez, T. A. Shaposhnikova  
AA, 2012/ IJAP, 2013 / IMSE 2013

Notations:  $\beta(\varepsilon) \equiv \varepsilon^{-\kappa}$  ( $\kappa \in \mathbb{R}$ ),  
 $a_\varepsilon \equiv r^\varepsilon \equiv O(\varepsilon^\alpha)$  ( $\alpha \geq 1$ )

$$P^\varepsilon \begin{cases} -\Delta u^\varepsilon = \lambda^\varepsilon u^\varepsilon & \text{in } \Omega^\varepsilon \\ u^\varepsilon = 0 & \text{on } \partial\Omega \\ \frac{\partial u^\varepsilon}{\partial n} + \varepsilon^{-\kappa} a(x) u^\varepsilon = 0 & \text{on } \bigcup \partial T^\varepsilon \end{cases}$$



Asymptotics for the eigenelements  $(\lambda^\varepsilon, u^\varepsilon)$  as  $\varepsilon \rightarrow 0$   
depending on the relations between  $\varepsilon$ ,  $r^\varepsilon \approx \varepsilon^\alpha$ ,  $\varepsilon^{-\kappa}$

....conservation of the multiplicity!



Critical sizes/relations:  $\varepsilon^{-\kappa} |\cup \partial T^\varepsilon| = O(1)$

$$P^0 \left\{ \begin{array}{l} -\Delta u = \lambda u \quad \text{in } \Omega^+ \cup \Omega^- \\ [u] = 0 \quad \text{on } \Sigma \\ \left[ \frac{\partial u}{\partial x_3} \right] = A(x)u \quad \text{on } \Sigma \\ u^0 = 0 \quad \text{on } \partial\Omega \end{array} \right.$$

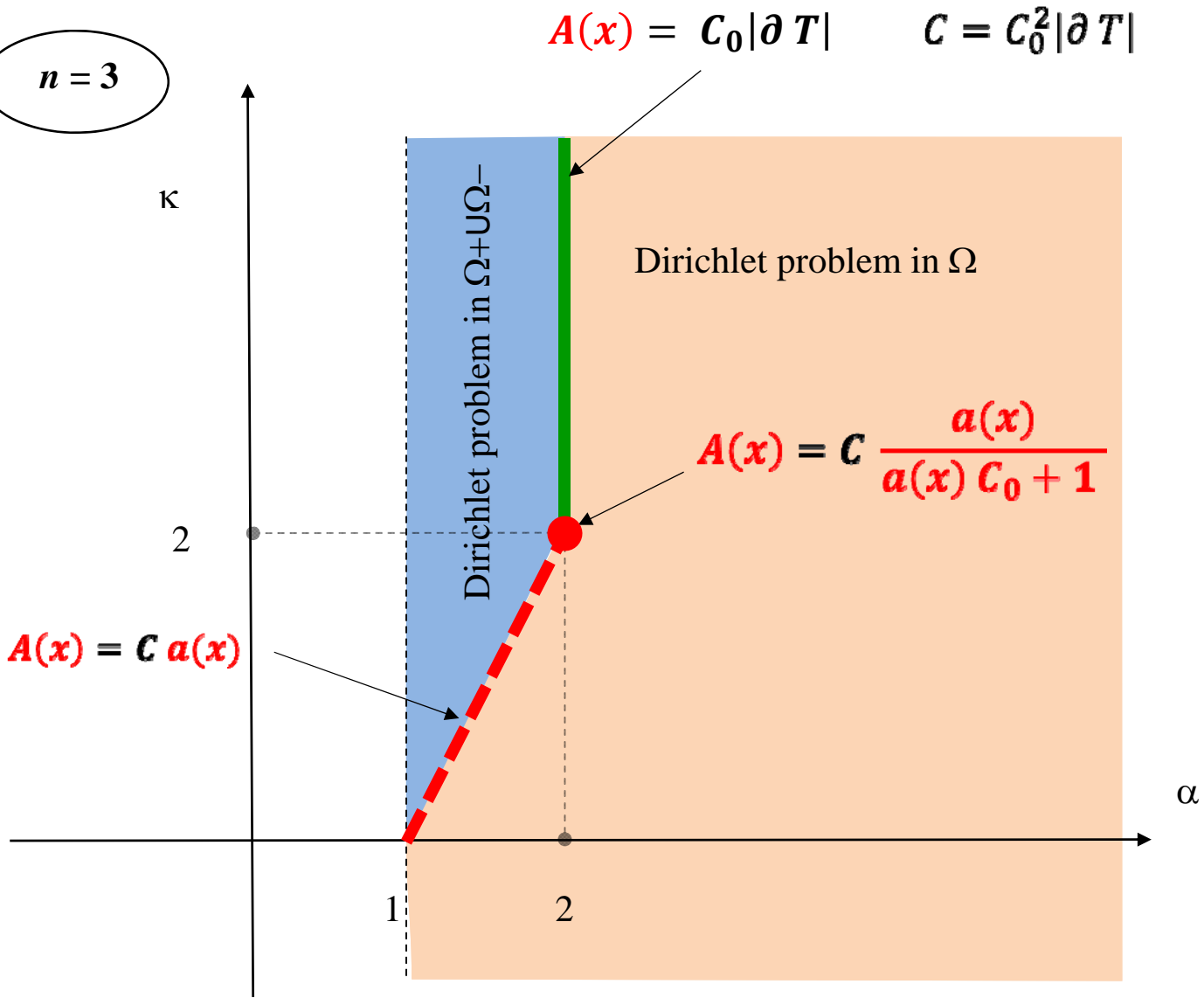
$$A(x) = C_0^2 |\partial T| a(x) \quad \text{when } \kappa = 2(\alpha - 1)$$

$$A(x) = C_0^2 |\partial T| \frac{a(x)}{a(x)C_0 + 1} \quad \text{when } \kappa = \alpha = 2$$

$$A(x) = C_0 |\partial T| \quad \text{for } \kappa > 2, \alpha = 2$$

$$A(x) = 0 \quad \text{for } \kappa < 2, \alpha = 2$$

$n = 3$



# Convergence rates for eigenelements: the most critical case $\alpha = \kappa = 2$

## Theorem

Let  $\{\lambda_k^\varepsilon\}_{k=1}^\infty$  and  $\{\lambda_k\}_{k=1}^\infty$  be the eigenvalues of problem  $P^\varepsilon$  and  $P^0$ , respectively. Then, for each fixed  $k$  there exists a constant  $C_k$  independent of  $\varepsilon$  such that

$$|\lambda_k^\varepsilon - \lambda_k| \leq C_k \varepsilon^{1/16},$$

holds for sufficiently small  $\varepsilon$ . In addition, for any eigenvalue  $\lambda_k$  of  $P^0$  with multiplicity  $s$  ( $\lambda_k = \lambda_{k+1} = \dots = \lambda_{k+s-1}$ ), and for any  $u$  eigenfunction corresponding to  $\lambda_k$ , with  $\|u\|_{L^2(\Omega)} = 1$ , there exists  $\tilde{u}^\varepsilon$ ,  $\tilde{u}^\varepsilon$  a linear combination of eigenfunctions  $\{u_r^\varepsilon\}_{r=k}^{r=k+s-1}$  of  $P^\varepsilon$  corresponding to  $\{\lambda_r^\varepsilon\}_{r=k}^{r=k+s-1}$ , such that

$$\|\tilde{u}^\varepsilon - u\|_{L^2(\Omega_\varepsilon)} \leq C_k \varepsilon^{1/16}.$$

*... and similar bounds for the rest of  $\alpha$  and  $\kappa$ !*

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**Thank you  
very much...**